

GOVERNMENT OF ZAMBIA

Statutory Instrument No.7 of 2016

The Mines and Minerals Development Act, 2015

(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

Arrangement of Regulations

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IN EXERCISE of the powers contained in section *one hundred and nineteen* of the Mines and Minerals Development Act, 2015, the following Regulations are made:

PART I

PRELIMINARY

1. These Regulations may be cited as the Mines and Minerals Development (General) Regulations, 2016.
2. In these Regulations, unless the context otherwise requires- “abandonment” means the voluntary extinction of a mining right which may be total or partial and which is demanded by the holder by renunciation;

“Act” means the Mines and Minerals Development Act, 2015;

“alteration” in relation to a mining area, means the extension or the reduction of the size of the exploration or mining areal

“assignee” means a person who derives a right or interest in a license or mining right from the holder of the license or mining right;

“assignor” means a person who grants a right or interest in a license or a mining right to another person;

“cadastral coordinates” means the geographic sexagesimal coordinates used in the delineation of an area subject to a license or a mining right in accordance with these Regulations

“cadastral unit” has the meaning assigned to it in the Act

“cadastral map” means a map record of existing non-mining and mining rights;

“certificate of abandonment” has the meaning assigned to it in the Act;

“conventionally file” means the act of filing or saving of paper documents;

“Directors” has the meaning assigned to it in the Act;

“document management system” means the electronic document storage and imaging system maintained by the Mining Cadastre Office;

“e-filing” means electronic transmission of an original document;

“electronic” includes digital or online submissions;

“electronic document” means an original document in electronic format;

“electronic service” means electronic transmission of a document between a person and the Mining Cadastre Office;

“exploration area” means an exploration of license granted under Part III of the Act;

“exploration operations” means operations carried out in the course of exploration;

“filing” means the process of submitting a document online, electronically or in paper form as applicable, at the Mining Cadastre Office;

“holder” means a person in whose name a mining right or non-mining right is registered under the Act;

“hyperlink” means an electronic connection or reference to another place in a document, such that when selected, the user is taken to the portion of the document to which the hyperlink refers;

“ID” means a unique user identification;

“mining information management system” means the system used by the Mining Cadastre Office to administer mining rights and non-mining rights;

“PDF” means portable document format, a file format that preserves all fonts, formatting colors and graphics of any source document, regardless of the application platform used;

“pegging certificate” means a certificate issued by a surveyor after demarcation of a license or mining right in accordance with regulation 58;

“perimeter” means the external contour of the ground made up of contiguous cadastral units, which is the subject of a license or mining right or an application of or a license or mining right;

“Priority ID” means a unique user identification number assigned in the mining rights management system to assign priority of an application for a mining right or a mineral processing license; and

“scanned format” means an electronic document created by scanning a paper format.

3. (1) For the purposes of the Act and these Regulations, the surface area of Zambia is divided into cadastral units in squares of six seconds by six seconds in conformity with the grid defined by geographic sexagesimal coordinates in degrees, minutes and seconds represented at the edge of cadastral aps.
 - (2) The cadastral maps shall be based on the official topographic map of the Republic of Zambia at the scale of 1:50,000 or 1:250,000 and based on the ellipsoid of Clarke 1880.
4. (1) Coordinates values shall be exact multiples of six seconds and all perimeters shall conform to the exact division.
 - (2) A polygon that forms an application for a license or mining right shall be composed of an exact number of indivisible cadastral units which are contiguous.
 - (3) An isolated polygon or a polygon which is joined to another polygon by only one vertex shall not be included in a license or mining right application.
 - (4) Mining activity in a polygon that partially falls outside a transnational boundary shall only be undertaken within the national boundaries.
 - (5) An applicable fee shall be payable in respect of a polygon covered by a mining right as set out in the Second Schedule.
5. (1) A setting out on the ground of the cadastral coordinates shall be made by the use of the official parameters for the transformation between the topographical map coordinates and the Global Positioning System (GPS) coordinates.
 - (2) The Mining Cadastre Office shall provide the parameters outlined under sub-regulation (1) in order to guarantee the homogeneity in the positioning of licenses and mining rights.
 - (3) Where there is a contraction between the field topographical data and the cadastral co-ordinates, the cadastral coordinates takes priority.
6. (1) The Mining Cadastre Office shall maintain an updated cadastral map.
 - (2) The cadastral map and the cadastral registry shall be open to the public for inspection.

PART II

APPLICATION FOR A MINING RIGHT OR NON-MINING RIGHT

7. (1) A person who wishes to undertake exploration or mining shall apply to the Director of Mining Cadastre for an exploration license or a mining license in Form I set out in the First Schedule upon payment of the fees set out in the Second Schedule.
 - (2) A person wishing to carry out mining activities in respect of radioactive minerals shall apply to the Minister for consent in Form I set out in the First Schedule.
8. (1) A person who wishes to undertake mineral processing shall apply to the Director of Mining Cadastre for a mineral processing license in Form II set out in the First Schedule upon payment of the fees set out in the Second Schedule.
 - (2) A person who wishes to trade in minerals shall apply to the Director of Mines for a mineral trading permit in Form III set out in the First Schedule upon payment of the fees set out in the Second Schedule.

- (3) A mineral trading permit shall cover the following classes of minerals:
- (a) gemstones;
 - (b) precious metals;
 - (c) base metals; and
 - (d) industrial minerals
- (4) A person who intends to conduct gold panning shall apply to the Director of mines for a Gold Panning Certificate in Form IV set out in the First Schedule upon payment of the fees set out in the Second Schedule.
9. A person who intends to import or export any mineral, or mineral product shall apply for a permit to the Director of Mines in Form V set out in the First Schedule upon payment of the fees set out in the Second Schedule.
10. A person who intends to acquire, store, transport, sell, import or export radioactive minerals shall apply to the Minister for consent in Form VI set out in the First schedule upon payment of the fees set out in the Second Schedule.
11. A person shall apply to the Director of Geological Survey for a mineral analysis certificate for a valuation certificate in Form VII set out in the First Schedule upon payment of the fees set out in the Second Schedule.
12. An agent who makes an application under these Regulations, on behalf of another person shall submit, together with the application, the authority to act as an agent in Form VIII set out in the Frist Schedule.
13. The Mining Cadastre Office shall, upon receipt of an application under these Regulations, assign an identification code to the application.
14. (1) An application for a mining right or a mineral processing license shall provide a paper copy and an electronic copy of geographical coordinates of the area of land in respect of which the license is sought, except that where there are more than twelve geographical coordinates, a paper copy shall only contain the first twelve geographical coordinates.
- (2) The geographical coordinates of the area of land referred to in sub-regulation (1) are valid from the date of an application to the date of the grant or rejection of the application.
- (3) A subsequent application that relates to a part or whole of the perimeter of an area already applied for shall be considered as partially or wholly overlapping with an area which is the subject matter of an application with a right of priority under these Regulations-
15. (1) The Director of Mining Cadastre shall, where the Mining Licensing Committee approves an application made under these Regulations-
- (a) inform the applicant of the approved application through any of the following:
 - (i) Notification in the print medial
 - (ii) Regular notices at the central or regional Cadastre Offices;
 - (iii) Short messaging system (SM); or
 - (iv) Electronic mail; and
 - (b) issue a letter of offer to the applicant stipulating the conditions of the offer in Form IX set out in the First Schedule.
 - (2) A letter of offer issued under sub-regulation (1) is valid for thirty days from the date of notification.
 - (3) Where an applicant, through payment of area charges set out in the Second Schedule, accepts the offer referred to in sub-regulation (1), the Mining Licensing Committee shall grant the applicant the license.

16. The Director of Mining Cadastre shall where the Mining Licensing Committee rejects an application for a mining right or Mineral Processing License, inform the applicant of the rejection in Form X set out in the First Schedule.
17. (1) A grant of a mining right or non-mining right shall be in Form XVIII, XIX, and XX, as the case may be, set out in the First Schedule.
- (2) A grant of a certificate, permit or authorization shall be in For XXI, XXII XXIII, XXIV, XXVI ad XXVII, as the case may be, set out in the First Schedule.
- (3) The terms and conditions of a license, certificate, permit or authorization, as the case may be, as set out I the Third Schedule.
18. (1) An application to amend a mining right or mineral processing license, shall, upon payment of the fees set out in the Second Schedule, be made to the Director of Mining Cadastre in Form XI set out in the First Schedule.
- (2) Where the application for amendment relates to the inclusion of minerals-
- (a) for a mining right or mineral processing license, the application shall include a programme of operations for the additional minerals approved by the Director of Mines or Director of Geological Survey, as the case may be; or
- (b) which are radioactive, the holder of a mining right or mineral processing license shall comply with the requirements of the Act and the Mines and minerals Development (Prospecting, Mining, and milling of Uranium Ore and other Radioactive Mineral Ores) Regulations, 2008.
19. A holder of a mining or non-mining right shall inform the Mining Cadastre Office of any change in the particulars of the holder in Form XII set out in the First Schedule.
20. The holder of a mineral trading permit shall submit to the Director of mines a record of the-
- (a) monthly sales and exports in Form XXXI set out in the First Schedule; and
- (b) monthly purchases and imports in Form XXXII set out in the First Schedule.

PART III RENEWAL OF MINING RIGHT AND MINERAL PROCESSING LICENSE

21. (1) An application for renewal of a mining right or mineral processing license shall be made-
- (a) for an exploration license, six months before the expiry of the license;
- (b) for a mining license, in the case of-
- (i) artisanal mining, three months before the expiry of the license;
- (ii) small-scale mining, six months before the expiry of the license and
- (iii) large-scale mining, one year before the expiry of the license and
- (c) for a mineral processing license, one year before the expiry of the license.
- (2) An application for renewal of a mining right shall be in Form XIII set out in the First Schedule.
- (3) An application for renewal of a mining right in respect of radioactive minerals shall be in Form XIII set out in the First Schedule.
- (4) An application for renewal of a mineral processing license shall be in the Form XIV set out in the First Schedule.

22. The Mining Cadastre Office shall, in accordance with regulation 5, provisionally plot onto the cadastral maps the perimeter that relates to an application for renewal of a mining right or mineral processing license.
23. (1) The Mining Licensing Committee shall reject an application to modify the current perimeter that covers a new adjacent area where-
 - (a) a part of the proposed area overlaps an area that is already subject to another mining right or license or is under application
 - (b) a minimum of twenty-five percent of the original exploration area is not part of the modified area; and
 - (c) the area falls below the minimum prescribed for safe mining operations.
 (2) The Director of Mining Cadastre shall, where the Mining Licensing Committee rejects an application under sub-regulation (1), inform the applicant of the rejection in Form X set out in the First Schedule.
 (3) A new area that is annexed to a license shall conform to the maximum duration, and the prescribed fees, applicable to the original license or mining right.
24. (1) The Director of mining Cadastre shall, where the Mining Licensing Committee rejects an application for renewal of a mining right or non-mining right, inform the applicant of the rejection in Form X set out in the First Schedule.
 (2) The Director of Mining Cadastre, shall, in addition to sub-regulation (1), endorse the rejection on the license issued in respect of the mining right or non-mining right.
25. The Director of Mining Cadastre shall, where the Mining Licensing Committee approves an application for renewal of a mining right or mineral processing license, endorse the approval on the license issued in respect of the mining right or mineral processing license.

PART IV

ALTERATION OF EXPLORATION OR MINING AREA

26. (1) A holder intending to alter the holder's exploration area or mining area shall apply to the Director of Mining Cadastre, in Form XV set out in the First Schedule.
 (2) A new exploration area or mining area shall conform to the prescribed cadastral units and the geometrical rules specified under regulation 4.
 (3) The maximum surface area for which a license or mining right may be granted shall not be exceeded the area stipulated in *sections twenty one* and *thirty* of the Act
27. The Mining Cadastre Office shall, pending the approval of an application for alteration of an exploration area or a mining area, provisionally plot the new perimeter on the cadastral map and record the new perimeter in accordance with regulation 5.
28. (1) The Mining Licensing Committee shall, where an application for alteration of an exploration area or a mining area meets the requirements of the Act and these Regulations, approve the application.
 (2) Where the Mining Licensing Committee approves an application for alteration of an exploration area or mining area, the Director of Mining Cadastre shall endorse the approval on the license issued in respect of that mining right.

PART V

TRANSFER OR ASSIGNMENT OF MINING RIGHT OR MINERAL PROCESSING LICENSE

29. (1) An application for consent to-

- (a) register the transfer of a share or shares in a company which holds a mining right or mineral processing license
 - (b) transfer control of a company which holds a mining right or mineral processing license or
 - (c) transfer or assign a mining right or mineral processing license;
- Shall be in Form XVI set out in the First Schedule.

- (2) An application under paragraph (c) of sub-regulation (1) shall be-
 - (a) made by an assignor at any time during the validity of a mining right but not less than one hundred and twenty days before the expiry of the license; and
 - (b) be accompanied by an application for a mining right or mineral processing license, as the case may be, made by the prospective assignee.
- (3) The periods stipulated in sub-regulation (1) of regulation 21 apply to this regulation, with the necessary modifications.

30. The Minister shall, where an application made under regulation 29 meets the requirements of the Act and these Regulations, grant consent to assign or transfer a mining right or mineral processing license in Form XXX set out in the First Schedule.

PART VI

ABANDONMENT OF MINING RIGHT AREA

31. An application for the abandonment of all or any part of any land subject to a mining or exploration license shall be in Form XVII set out in the First Schedule.
32. The Director of Mining Cadastre shall, where the Director of Mining Cadastre approves an application for the abandonment of land, grant the certificate of abandonment in Form XXVIII set out in the First Schedule.

PART VII

ELECTRONIC SUBMISSION OF APPLICATION

33. Despite any other provisions for electronic and online submissions, the following documents shall be filed conventionally, unless expressly required to be filed electronically by the Director of Mining Cadastre:
- (a) feasibility of study reports;
 - (b) environmental impact study reports;
 - (c) any other reports and submissions as determined by Director of Mining Cadastre
34. (1) Where a matter under these regulations requires the filing of an application, document or statutory report, the submissions may be filed electronically at the Mining Cadastre Office or online.
- (2) A person may pay by electronic methods established by the Mining Cadastre Office.
- (3) A person who wishes to make an electronic application shall, prior to submission, register as a user in the mining rights management system.
- (4) A person who submits an application for a mining right or mineral processing license shall have the option to select the area of application by-
- (a) direct entry of geographical coordinates in the system;
 - (b) selection of cadaster units in the system;
 - (c) uploading geographical coordinates from a soft copy medium into the system; or;
 - (d) attachment of prescribed appendices using the PDF format.

35. (1) Following submission of the area of interest electronically, the area of application shall be validated by the system against valid mining rights and earlier mining rights applicable to enable the user identify-
- (a) if the area of interest is free; or
 - (b) partial or total overlaps.
- (2) Where the area of interest partially overlaps, the applicant has the option to select free cadaster units for submission.
- (3) Upon submission of a revised application under sub-regulation (2), the application shall be stored in the system for twenty four hours within which time the application fee must be paid failure to which the application lapses.
- (4) Upon payment of the application fee, the system shall assign a unique priority user name (ID) which shall also carry priority of the application in relation to other applications.
36. (1) A person shall ensure that an electronically filed document is formatted in accordance with the applicable formatting of paper documents acceptable to the Director of Mining Cadastre.
- (2) The Director of Mining Cadastre shall specify the format acceptable for submission.
- (3) The Director of Mining Cadastre may require a person to produce the original of a scanned document that has been filed electronically.
37. (1) A person may electronically submit a document in Microsoft Word, Microsoft Excel, Rich Text Format, Portable Document Format and any standard non proprietary graphic formats.
- (2) Coordinates to be uploaded shall be submitted in Microsoft Excel format longitudes and latitudes in geographical format.
38. (1) An application submitted online or electronically shall be queued up and assigned priority time and date by the system.
- (2) A priority time and date assigned shall be based on the Zambian official time zone
39. (1) The Director of Mining Cadastre shall register users and provide each user with a unique user name (ID) and password.
- (2) The user name referred to in a subregulation (1), when used in conjunction with the personally selected password, constitutes a signature of the registered user on documents and management of licenses and applications in the mining rights management system.
- (3) Despite subregulation (2), a user may apply an electronic signature to a document to be submitted for purposes of these Regulations.
- (4) In order to ensure the intent of the holder or applicant, the signature line on an electronically filed document shall bear the printed name of the user preceded by the symbol “/s/”.
- (5) An electronic document may be signed by the Registrar of Mining Rights through the use of a printed signature preceded by the “/s/” symbol or through the use of the official signature stamp.
- (6) The official signature stamp shall be merged with the electronic document and shall be visible when the document is printed and viewed electronically.
- (7) A document which requires the signature of a holder or an applicant shall be filed with the Ming Cadastre Office in paper format, scanned and maintained in a manner consistent with applicable record retention and archival rules.

- (8) Where the document submitted is free from infection, the document shall be considered submitted and the Mining Cadastre Office shall send acknowledgement of receipt through the mining rights management system.
- (9) A document which has been successfully received shall be reviewed for compliance with prescribed requirements and filing standards and where it complies with standards, shall be accepted and considered filed as of date and time it was received by mining rights management system.
40. A holder of or applicant for a mining right or mineral processing license shall have the same responsibility as a person filing a document in paper format and shall ensure that the document is properly completed and filed.
41. (1) Despite any other provisions under these Regulations a person filing an application shall not submit a paper copy of the applications unless ordered to do so by the Mining Cadastre Office.
- (2) Where the Act or these Regulations require that a paper document be submitted in its original form, a person shall submit the original hard copy within five days of a demand by the Director of Mining Cadastre.
42. Where a document has been submitted electronically, the document shall be considered to have been received when it has arrived at the hosting server for incoming communications in connection with which the user ID or signature is associated.
43. (1) The mining rights management system shall make available online basic mining rights information.
- (2) The Director of Mining Cadastre shall make electronically filed, scanned documents and mining rights information available to the public.
44. An electronically filed document shall be maintained in a printed format with the same content and formatting as if printed from its author program.
45. (1) A certified copy of an electronically filed document may be obtained electronically or be issued in the conventional manner by the Director of Mining Cadastre, as applicable.
- (2) A certified copy shall be granted in the conventional paper format.
46. The Mining Cadastre Office is not liable for any break in service, malfunction or error occurring in online or electronic transmission or receipt of electronically filed documents.
47. (1) The Director of Mining Cadastre may determine, if necessary, specific instructions connected with online and e-filing through an electronic entry in the system.
- (2) The Director of Mining Cadastre shall determine if any application deadlines may be extended as a result of rejection of e-filed documents.
- (3) The Director of Mining Cadastre may order a person to resubmit any document that is not compliant with the rules or may order the documents to be filed conventionally.
48. (1) All fees applicable to conventional hard copy applications apply to online and electronic applications and submissions.
- (2) A document, application or statutory report which requires to be accompanied by a fee or penalty may be rejected within twenty-four hours, if the payment has not been rendered.

PART VIII

REPORTS BY MINING RIGHTS AND MINERAL PROCESSING LICENCE HOLDERS

49. (1) The holder of an exploration license shall-

- (a) at quarterly intervals, on or before the thirtieth day of the month following the end of each quarter, submit to the Director of Geological Survey and Director of Mines Safety a comprehensive report, which shall consist of a paper and electronic copy, relating to exploration operations carried out during the previous quarter supported by
 - (i) maps and diagrams showing the areas where exploration operations have been carried out, and the locations where excavations have been made and boreholes sunk and the relevant geological data;
 - (ii) documents and maps showing the results of a geological, geochemical or geophysical surveys;
 - (iii) sections showing strata or ore bodies penetrated in an excavation or borehole and assay results; and
 - (iv) Documents showing the average number of employees broken into number and category of Zambian citizens and expatriates employed during the previous quarter in carrying out exploration operations
- (b) submit to the Director of Geological Survey and Director of Mines Safety an annual comprehensive report of exploration operations, on or before the end of the first month following the end of the year, which shall include
 - (i) a comprehensive summary of all pertinent geological, geochemical and geophysical data supported by maps, diagrams and sections and including in particular details of mineralization revealed, calculations and estimates of ore reserves and the data on which such calculations and estimates are based;
 - (ii) a brief description of work undertaken; and
 - (iii) proof of the holder's compliance with the approved local business development programme; and

(2) A holder of an exploration license who wishes to apply for a mining license shall include information provided for in paragraph (c) of subregulation (1).

50. (1) The holder of a mining license or mineral processing license shall, on or before the fifteenth day of each month, submit to the Director of Mines and Director of Mines Safety in respect of the preceding month a return of the quantities and value of mineral products produced from the mining area or mineral processing area whether by way of trial or regular business of the removal of minerals from the mining area, in Form XXXIII and XXXIV, as the case may be, in the First Schedule.

(2) The mineral production return shall include a record of ore hoisted and treated and other information considered necessary to accurately record the value and quantity of minerals produced and processed.

51. (1) The holder of a mining license or mineral processing license shall submit to the Director of Mines and Director of Mines Safety not later than the 28th of February in each year, a report on the operations carried out in the mining area and operations ancillary thereto during the year ending on the previous 31st December.

(2) The report referred to in subregulation (1) shall include

(a) tonnage, type and grade of ore milled and its source

(b) tonnages and grade of ore depleted from or added to reserves or moved from one category of ore reserve to another,

- (c) a report on exploration work carried on within the mining area;
- (d) the total footages of primary development, secondary development and exploratory drilling completed;
- (e) calculations showing the ore recovery and dilution factors;
- (f) the recovery percentages and efficiency of all mining and metallurgical processes and metallurgical balance sheet showing the disposition of all metal and mineral products depleted from the ore reserves;
- (g) a brief report on research projects or major technical investigations carried out and results to date;
- (h) an operating cost sheet showing in detail the average cost of production expressed in cost per ton of ore
- (i) a statement of work carried out on capital projects, and expenditure thereon;
- (j) quantities and grade of end products produced, quantities sold and average selling prices;
- (k) average number of employees broken into number and category of Zambian citizens and expatriates employed during the previous year;
- (l) the holders compliance with the approved local business development programme; and
- (m) a brief report on training carried out.

52. (1) The holder of a mining license or mineral processing license shall submit to the Director of Mines and Director of Mines Safety not later than the last day of November in each year a programme of operations in respect of the mining area for the ensuing year commencing on the following 1st January.

- (2) The programme referred to in sub-regulation (1) shall include-
 - (a) an estimate of the tonnages and grades of ore or other material that will be mined or reclaimed and treated and their source;
 - (b) an estimate of primary and secondary development and exploratory drilling planned for the year;
 - (c) an estimate of the quantities of mineral products which will be produced, estimated average operating costs and anticipated selling prices for the year;
 - (d) details and estimated cost of capital projects to be undertaken;
 - (e) a forecast of changes anticipated in the mining methods, treatment processes or marketing arrangements;
 - (f) a statement of research projects or major investigations to be carried out;
 - (g) estimated number of staff and labour requirements, stating the number of and category of Zambians and expatriates to be employed;
 - (h) a brief preview of the training programme for the year; and
 - (i) a brief preview of the local business development programme for the year.

53. The holder of a mining license shall, at intervals of two years, submit to the Director of Mines and Director of Geological Survey a detailed report of exploration activity including details of mineralization and calculated and estimated ore reserves in the mining area and the data on which the calculation is based.

54. The holder of a mining license or mineral processing license shall submit to the Director of Mines and Director of Mines Safety a copy of audited annual financial statements within three months of the end of each financial year.

PART IX GENERAL PROVISIONS

55. (1) The holder of an exploration license shall commence operations in accordance with the approved programme not later than six months from the date of grant of the license.

(2) The holder of a mining license or mineral processing license shall commence operations in accordance with the approved programme of operations.

56. A notice of appeal shall be in Form XXXV set out in the First Schedule.

57. (1) The fees set out in the Second Schedule are applicable for the matters stipulated therein.

(2) The minimum annual exploration expenditures are as set out in the Second Schedule.

(3) A holder of a mining right or mineral processing license shall pay the area charges set out in the Second Schedule on or before the anniversary of the grant of the mining right or mineral processing license.

(4) A holder of an exploration license, mining license, or mineral processing license who defaults on payment of the area charges commits an offence and is liable, upon conviction to a fine not exceeding five hundred thousand penalty units.

58. (1) An application under these Regulations shall not be approved if the area covered in the application overlaps a neighboring mining right or mineral processing license.

(2) Following the grant of a license under these Regulations, a holder of a mining right or mineral processing license, subject to the direction of the Mining Cadastre Office shall, at the holder's cost

(a) Survey the mining right area and place-

(i) temporary beacons for exploration license; or

(ii) permanent beacons for a mining or mineral processing license; and

(b) obtain a pegging certificate.

(3) A pegging certificate shall be in Form XXIX set out in the First Schedule and shall be submitted to the Mining Cadastre Office.

59. A temporary beacon consists of an iron bar, or a hard wood or wooden pole treated with preservative, two metres in length and fifty centimetres into the ground, surrounded by a pile of stones not less than sixty centimetres in diameter at the base and not less than one metre high and having attached to it a plate bearing the following details:

(a) the registered number of the exploration license and the name of the holder of the license

(b) the date of beaconing; and

(c) the beacon number

60. (1) A permanent beacon consist of-

(a) an iron pin, not less than thirty eight centimetres long and one and a half centimetres in diameter, set in concrete not less than one hundred and fifty cubic centimetres, and buried so that the top of the pin is at least twenty five centimetres below the surface of the ground;

(b) a masonry or concrete pillar not less than ninety by ninety centimeters at the base, not less than fifty by fifty centimeters at the apex, and not less than one meter high, built centrally over the pin or hole;

(c) an iron pin concreted into the pillar plumbed centrally over the buried pin or hole and projecting beyond the apex of the pillar for a distance not more than one and half centimetres; and

(d) a rustproof metal plate, not less than five by five centimetres, securely attached to the pillar and bearing on it the following details:

(i) the markings for the purpose of identifying the beacon by the land surveyor in accordance with the Survey Regulations;

(ii) the registered number of the mining license or mineral processing license concerned and the name of the holder of the license; and

(iii) other information as may be required by the Act.

(2) Where the details required under sub-regulation (1)(d), are imprinted upon the pillar, the metal plate shall not be required.

(3) The pin, under sub-regulation (1)(a)-

(a) in sandy soil, shall not be less than sixty centimeters long; and

(b) where the ground is rock and it is impossible to drive an iron pin into it, a hole not less than one and half centimeters in diameter and two centimeters deep shall be drilled in the rock, which hole shall be in lieu of such pin.

61. A person who willfully or maliciously defaces, alters the position of removes, pulls down, injures, destroys or erects or renews in any position other than its proper or original position a temporary beacon or permanent beacon erected under these regulations commits an offence and is liable, on conviction, to a fine not exceeding five hundred thousand penalty units or to imprisonment for a period not exceeding five years, or to both.

62. (1) The holder of an exploration license in whose area geochemical surveying, reverse circulation or diamond drilling has been carried out shall not destroy or dispose of samples or diamond drill cores or the records relating thereto without written authority from the Director of Geological Survey.

(2) The Director of Geological Survey may take possession of such samples or cores or records relating thereto.

63. On the commencement of these Regulations, where an area is subject to a conflict or overlap that could affect the mining activity area, that area –

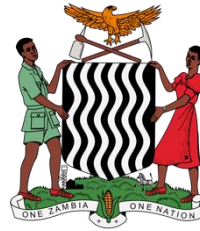
(a) shall be blocked off until the resolution of the conflict; and

(b) an application over that area shall not be accepted.

64. The Mines and Minerals Development (General) Regulations, 2008 are revoked.

FIRST SCHEDULE

(Regulations 7, 8(1), 8(2), 8(4), 9, 10, 11, 12, 15(1), 16, 17, 18(1), 19, 20, 21(2), 21(3), 21(4), 23(2) 24, 26(1), 29(1), 30, 31, 32, 50(1), 56 and 58)



Form I
(Regulation 7)
(To be completed in triplicate)

REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR A MINING RIGHT							
		Shaded fields for official use only		Licence Code			
				Date and Time			
Information Required		Information Provided					√
1	Type of Mining Right	Exploration		Mining			
2	Scale of operation	Large scale		Large-Scale			
		Small-Scale		Small scale			
				Artisanal			
3	Minerals	Non-Radioactive		Radioactive			
4	(a) Name(s) of applicant(s)						
	(b) Type of applicant (Artisanal mining only)	Individual		Co-operative			
5	(a) Date of Birth (dd/mm/yyyy)						
	(b) Nationality						
	(c) Identity Card (National Registration Card) No. or Passport No.- Attach certified copies						
6	Applicant's physical and postal addresses						
	Tel:						
	Mobile No:						
	Fax:						
	Email:						

7	(a) Company/Cooperative Registration No. (Attach certified copies of registration certificate)				
	(b) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No.
	(c) Directors	Directors	Shares held	Nationality	NRC/Passport No.
	(d) Company Chief Executive Officer	Name		Nationality	
	(e) Company Secretary	Name		Nationality	
8	Current Mining Rights or licences in Zambia, if any, by applicant	Mining Right/Licence No.	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	
9	Previously held mining rights in Zambia, if any, by applicant	Mining Right/Licence No.	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	
10	Currently held mining rights in Zambia, if any, by subsidiary companies	Mining Right/Licence No.	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	

		(f)	(f)	(f)
11	Currently held mining rights or licences in other countries by applicant	Mining Right/Licence No.	Location	Area (km ²)
		(a)	(a)	(a)
		(b)	(b)	(b)
		(c)	(c)	(c)
		(d)	(d)	(d)
		(e)	(e)	(e)
		(f)	(f)	(f)
12	(a) Has the applicant been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Development Act, No. 11 of 2015, or any other law outside Zambia? If yes, specify details: Nature of offence: Date of conviction:			
	(b) Have you been declared bankrupt, or if a company, have you been in liquidation? If yes, specify details:			
13	Has the applicant ever applied for a mining right or licence in Zambia? If yes, please give details			
	Mining right or licence applied for	Location	Area km ²	Date of application
Status of application <input type="checkbox"/> Grant <input type="checkbox"/> Rejection <input type="checkbox"/> Pending				
14	Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-minute-second format, Arc 1950)			
	(a) Location	Province		District
		Locality		
	(b) Size (in sq. km)	121-59,880 cadastre units 3-120 cadastre units		
Exploration (activity) not exceeding 2000 km ² as follows: (i) Large-scale (ii) Small-scale				

Mining (activity) (i) Large-scale (ii) Small-scale (iii) Artisanal		121-7,485 cadastre units 3-120 cadastre units Not exceeding 2 cadastre units											
(c) Coordinates (use additional sheet to indicate coordinates if required)		Latitude (S)						Longitude (E)					
		D	D	M	M	S	S	D	D	M	M	S	S
Corner	1												
Corner	2												
Corner	3												
Corner	4												
Corner	5												
Corner	6												
Corner	7												
Corner	8												
Corner	9												
Corner	10												
Corner	11												
Corner	12												
15 Appendices													
(a) Exploration Licence													
Appendix No. 1		Proposed programme of exploration operations (should include an estimate of the investment commitment in the approved format)											
Appendix No. 2		Proposals for employment and training of citizens of Zambia											
Appendix No. 3		Proposals for promotion of local business development											
Appendix No. 4		Tax clearance certificate issued under Income Tax Act (Cap. 323)											
Appendix No. 5		Any further information which the Director of Mining Cadastre may require											
Appendix No. 6		Proof of consent from appropriate authority if activity is in a National Park, Game Management Area											
(b) Mining Licence- Large Scale													
Appendix No. 1		A comprehensive statement of the mineral deposits in the area over which the license is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions)											
Appendix No. 2		Feasibility study for mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the											

		proposed treatment and disposal of ore and minerals recovered)
	Appendix No. 3	Approved Environmental Impact Statement
	Appendix No. 4	Details of expected infrastructure requirements
	Appendix No. 5	Proposals for employment and training of citizens of Zambia
	Appendix No. 6	Proposals for promotion of local business development
	Appendix No. 7	Tax clearance certificate (issued under Income Tax Act, Cap. 323)
	Appendix No. 8	Plan of proposed mining area
	Appendix No. 9	In the case of gemstones, a plan for cutting, polishing and faceting of gemstone in Zambia
	Appendix No. 10	Any further information which the Director of Mining Cadastre may require
(c) Mining Licence- Small-Scale		
	Appendix No. 1	Copy of relevant prospecting permit and prospecting report
	Appendix No. 2	Proposed programme of mining operations (should include forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered)
	Appendix No. 3	Description of the mineral deposit in area over which the licence is sought
	Appendix No. 4	Statement of duration for which licence is sought (should not exceed ten years)
	Appendix No. 5	Tax Clearance Certificate issued under Income Tax Act (Cap. 323)
	Appendix No. 6	Approved Environmental Project Brief
	Appendix No. 7	Any further information which the Director may require
(d) Artisanal Mining		
	Appendix No. 1	Environmental Commitment Plan
	Appendix No. 2	Programme of intended mining operations (should include proposals for the proper conservation and use of mineral resources in mining area, in the national interest)
(e) Radioactive Minerals		
	Appendix No. 1	Plan and description of mine, processing facilities and proposed disposal sites
	Appendix No. 2	Copy of licence issued under the Ionizing Radiation Protection Act, No. 16 of 2005

Appendix No. 3	Programme to educate persons in the vicinity of the mine or plant on safety, health and the environment in relation to radiation
Appendix No. 4	Programme to assist authorities outside the mining area in planning and preparing to limit adverse effects of radiation
Appendix No. 5	Proposed programme for selecting, using and maintenance of personal protective equipment
Appendix No. 6	Proposed training programme for workers in relation to radiation safety
Appendix No. 7	Proposed ventilation and dust control methods and associated equipment
Appendix No. 8	Proposed security measures during mining, processing, storage, transportation (including measures to alert the holder of acts of sabotage)
Appendix No. 9	Storage, transportation and marketing arrangements in conformity with the Nuclear Non-Proliferation Treaty (NPT)
Appendix No. 10	Any further information which the Director may require

Application Fee

.....
Applicant/Authorised
Representative*

.....
Date

.....
Officer

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Serial No. of Application:

NOTE

1. An application for large-scale or small-scale mining shall only be made by a company.
2. A small-scale mining activity may only be undertaken by a citizen-owned, citizen influenced and citizen empowered company.
3. Artisanal mining shall only be carried out by an individual or a cooperative.
4. *Delete what is not applicable

Form II
 (Regulation 8(1))
 (To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR A MINERAL PROCESSING RIGHT						
		Shaded fields for official use only	Licence Code			
			Date and Time			
<i>Information Required</i>		<i>Information Provided</i>			√	
1	Period					
2	Minerals					
3	Name of Applicant					
4	Applicant's physical and Postal addresses Tel: Mobile: Fax: Email:					
5	(a) Company Registration No.(Attach certified copies of registration certificate)					
	(b) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No.	

	(c) Directors	Directors	Shares held	Nationality	NRC/Passport No.
	(d) Company Chief Executive Officer	Name		Nationality	
	(e) Company secretary	Name		Nationality	
6	Current mining rights or licences in Zambia, if any, by applicant	Mining Right or Licence No.	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	
7	Previously held mining rights or licences in Zambia, if any, by applicant	Mining Right or Licence No.	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	
8	Currently held mining rights or licences in Zamiba, if any, by subsidiary companies	Mining Right or Licence No.	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	
9	Currently held mining rights or licences in other countries by applicant	Mining Right/Licence No.	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	

		Corner	11												
		Corner	12												
13	Appendices														
	Appendix No.1	Description and plan of surrounding developments													
	Appendix No.2	Feasibility study for processing operations (should include a forecast of capital investment, proposed plant capacity, the estimated recovery rate of mineral products and the proposed treatment methods and disposal of ore and minerals recovered)													
	Appendix No.3	Environmental Management Plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimizing adverse effects on environment)													
	Appendix No.4	Details of expected infrastructure requirements													
	Appendix No.5	Proposals for employment and training of citizens of Zambia													
	Appendix No.6	Tax clearance certificate (issued under Income Tax Act ((Cap.323))													
	Appendix No.7	Any further information which the Director of Geological Survey may require													
	Appendix No.8	Documentation on title to land or written consent of legal occupier of land													
Application Fee															
<p>.....</p> <p>Applicant/Authorised Representative* Date</p> <p>.....</p> <p>Officer</p>															
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Received by:															
Officer															
Amount Received:															
Serial No. of Application:															
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REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR A MINERAL TRADING PERMIT							
		Shaded fields for official use only		Permit Code			
				Date and Time			
<i>Information Required</i>		<i>Information Provided</i>					√
1	(a) Name(s) of applicant(s)						
	(b) Type of applicant	Individual	Company ¹	Co-operative	Partnership		
2	(a) Date of Birth (dd/mm/yyyy)						
	(b) Nationality						
	(c) Identity Card (National Registration Card No. or Passport No.)- Attach certified copies						
3	Applicant's physical and postal addresses						
	Tel:						
	Mobile No.:						
	Fax:						
	Email:						
4	(a) Class of minerals	Gemstones					
		Precious metals					
		Base Metals					
		Industrial minerals					
	(b) Type of minerals						

5	Applicant's bank details				
	(a) Name and address of bankers				
	(b) Tel:				
	(c) Mobile No.:				
	(d) Fax No.:				
	(e) Email				
	(f) Company/Cooperative Registration No. (Attach certified copies of registration certificate)				
	(g) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act. Cap 388)	Shareholder	Shares held	Nationality	NRC/Passport No.
(h) Directors	Directors	Shares held	Nationality	NRC/Passport No.	
(i) Company Chief Executive Officer	Name		Nationality		
(j) Company Secretary	Name		Nationality		
7	Appendices				
	Appendix No.1	Certified copy of National Registration Card or Passport			
	Appendix No. 2	Bank account statement or letter from bank			
	Appendix No. 3	Copies of proposed business plan and capital outlay			
	Appendix No. 4	Tax Clearance Certificate			
I do hereby declare that the information given above is true and correct to the best of my knowledge and belief ³ .					
.....					

Signature	Date
<p>FOR OFFICIAL USE ONLY</p> <p>Received by: <div style="text-align: center;">Officer</div> </p> <p>Amount Received:</p> <p>Serial No. of Application:</p>	
<div style="border: 1px solid black; border-radius: 15px; padding: 10px; width: fit-content; margin: 0 auto;"> <p>OFFICAL STAMP</p> </div>	

Note:

1. Company refers to a citizen-influenced company, citizen-owned company or a citizen-empowered company
2. List the specific minerals that fall under the preferred class in paragraph 4(a) which you want to trade in.
3. Any declaration in this application which is to the knowledge of the maker, false or untrue, shall render this application void ab initio.

Form IV
 (Regulation 8(4))
 (To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals of Development Act, 2015
 (Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR A GOLD PANNING CERTIFICATE				
		Shaded fields for official use only	Licence Code	
			Date and Time	
1	(a) Names of applicant(s)			
		Individual		Co-operative
2	(a) Date of Birth (dd/mm/yyyy) (b) Nationality (c) Identity Card (National Registration Card No. or Passport No.) – Attach certified copies			
3	Applicant's physical and postal addresses Tel: Mobile No.: Fax: Email:			
4	(a) Co-operative Registration No. (Attach certified copies of registration certificate)			

	(c) Shareholders (attach copies of identity cards and companies Form 2 issued under the Companies Act Cap 388)		Shareholder	Shares held	Nationality	NRC/Passport No.							
	(d) Directors		Directors	Shares held	Nationality	NRC/Passport No.							
5	Current Panning certificates or licences held in Zambia, if any, by applicant		Panning Certificate or Licences	Location		Area (km ²)							
			(a)	(a)	(a)								
			(b)	(b)	(b)								
			(c)	(c)	(c)								
			(d)	(d)	(d)								
			(e)	(e)	(e)								
Area applied for (latitudes/longitudes format, Degree-minute-second format, Arc 1950)													
(a) Location		Province		District									
		Locality											
(b) Size (in sq. Km)													
(c) Coordinates (use additional sheet to indicate coordinates if required)		Latitude (S)				Longitude (E)							
		D	D	M	M	S	S	D	D	M	M	S	S
	Corner	1											
	Corner	2											
	Corner	3											
	Corner	4											
	Corner	5											
	Corner	6											
	Corner	7											
	Corner	8											
	Corner	9											
	Corner	10											
	Corner	11											
	Corner	12											
1	Appendix												
2	1.		Programme of intended panning operations										
	2.		Environmental Commitment Plan										
	3.		Copies of Directors/Shareholders Identities										
	4.		Tax clearance certificate										

Application fee:

.....
Applicant/Authorised Representative

.....
Date

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Officer

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REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR PERMIT TO IMPORT/EXPORT* MINERAL, ORE OR MINERAL PRODUCT			
		Shaded fields for official use only	Permit Code
			Date and Time
<i>Information Required</i>		<i>Information Provided</i>	
1	Type of minerals or mineral products to be *exported/imported		√
2	(a) Names of applicant(s)		
	(b) Type of applicant (Artisan and Mining only)		
3	(a) Date of Birth (dd/mm/yyyy/)		
	(b) Nationality		
	(c) Identity Card (National Registration Card No.)- Attach copies		
4	Applicant's physical and postal addresses		
	Tel:		
	Mobile No.:		
	Fax:		
	Email:		
5	(a) Company/Cooperative		

	Registration No. (Attach certified copies of registration certificate)				
	(b) Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No.
	(c) Directors	Directors	Shares held	Nationality	NRC/Passport No.
	(d) Company Chief Executive Officer	Name		Nationality	
	(e) Company Secretary	Name		Nationality	
6	(a) Destination of *export/import				
	(b) Name and address of recipient				
7	Quantity, quality and value of mineral commodity to be exported/imported				
8	Port of entrance/exit				
9	Appendices				
	(a) Export Permit				
	Appendix No. 1	Mineral analysis certificate/mineral valuation report issued by the Director of Geological Survey			
	Appendix No. 2	Verification report on mineral royalty payment from the Commissioner-General			
	Appendix No. 3	Security clearance by the Zambia Police Force			
	Appendix No. 4	Copies of certified production returns made by the holder			
	Appendix No. 5	Mining right, non-mining right or permit No.			
	(b) Import Permit				
	Appendix No. 1	Supply contract documents			
	Appendix No. 2	Mineral analysis report			
	Appendix No. 3	Company registration details			
	Appendix No. 4				
	Application Fee				

.....
.....
Applicant/Authorised Representative
Date
.....
.....
Officer
Date

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Officer

Amount Received:

Serial No. of Application:

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*Note**- Delete whichever is not applicable

Form VI
(Regulation 10)
(To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR CONSENT TO ACQUIRE/STORE/TRANSPORT/SELL/EXPORT RADIOACTIVE MINERALS						
		Shaded fields for official use only		Authorisation Code		
				Date and Time		
<i>Information Required</i>		<i>Information Provided</i>				√
1	Type of minerals or mineral products					
2	Period					
3	(a) Name(s) of applicant(s)					
	(b) Type of applicant	Individual	Company	Co-operative	Partnership	
4	(a) Date of Birth (dd/mm/yyyy/)					
	(b) Nationality					
	(c) Identity Card (National Registration Card No.)- Attach copies					
5	Applicant's physical and postal addresses Tel: Mobile No.: Fax: Email:					
6	(a) Company/Cooperative Registration No. (Attach certified copies of registration certificate)					
	(b) Shareholders (Attach certified copies of identity cards and companies)	Shareholder	Shares held	Nationality	NRC/Passport No.	

	Form 2 issued under the Companies Act Cap. 388)				
	(c) Directors	Directors	Shares held	Nationality	NRC/Passport No.
	(d) Company Chief Executive Officer	Name		Nationality	
	(e) Company Secretary	Name		Nationality	
7	(a) Sources of mineral commodity				
	(b) Transportation method				
	(c) Export recipient and full address				
	(d) Export quantity				
	(e) Export value				
	(f) Radiation Protection Officer (attach appointment documentation)				
8	(a) Destination of mineral commodity				
	(b) Name and address of recipient/buyer				
	(c) Quantity and value of mineral commodity				
	(d) Intended use of recipient/buyer				
9	Appendices				
	Appendix No. 1	Copy of licence from Radiation Protection Authority (acquisition, storage, transportation or export)			
	Appendix No. 2	Security measures (endorsed by Inspector General Police and the Office of the President)			
	Appendix No. 3	Company registration details			
	Appendix No. 4	Mineral analysis certificate by Director of Geological Survey			
	Appendix No. 5	Details of packaging (for both export and import)			
Application fee					
I declare that the recipient of this export consignment shall not under any circumstances, return any arising nuclear fuel waste to Zambia*					
.....				
Applicant/Authorised Representative		Date			
.....				
Officer		Date			

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Serial No. of Application:

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**Note: Any declaration in this application which is to the knowledge of the maker, false or untrue, shall render this application void ab initio*

(To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR MINERAL ANALYSIS CERTIFICATE/VALUATION CERTIFICATE *					
		Shaded fields for official use only	Certificate Code Date and Time		
<i>Information Required</i>		<i>Information Provided</i>			√
1	(a) Name(s) of applicant(s)				
	(b) Type of applicant (Artisan and Mining only)	Individual		Co-operative Company	
2	(a) Date of Birth (dd/mm/yyyy)				
	(b) Nationality				
	(c) Identity Card (National Registration Card No. or Passport No.) – Attach copies				
3	Applicant's physical and postal addresses Tel: Mobile No.: Fax: Email:				
4	(a) Company/Cooperative Registration No. (Attach certified copies of registration certificate)				
	(b) Mining right or non- mining right No.				
5	Minerals or mineral products to be analysed				
6	Analysis requested				
7	Source of minerals				
Application Fee					

..... Applicant/Authorised Representative Date
..... Officer Date
<p>FOR OFFICIAL USE ONLY</p> <p>Received by: <div style="text-align: center;">Officer</div></p> <p>Amount Received:</p> <p>Serial No. of Application:</p> <div style="text-align: right; margin-top: 20px;"> <div style="border: 2px solid green; border-radius: 15px; padding: 10px 20px; display: inline-block;"> OFFICIAL STAMP </div> </div>	

Note * Delete whichever is not applicable



REPUBLIC OF ZAMBIAT
The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
FORM FOR AUTHORISATION OF AGENT

- (1) Here insert the full names of the person authorizing, name of the company and company registration No. and the name of the authorized company representative. I/We (1) have appointed (2) of my/our agent for (3) and requests that all notices, requisition and communications relating thereto may be sent to such agent at the above address.
- (2) Here insert name and physical and postal address of agent. I/We here hereby revoke all previous authorisations, if any, in respect of the same matter or proceedings.
- (3) Here state the particular matter or proceeding for which the agent is appointed, giving the reference number if known. I/We hereby declare that I am/we are a (4) Dated this..... day of 20.....
- (4) Here state nationality of principal. (5).....
- (5) To be signed by person appointing the agent. Signature of principal Address (6) Dated this
- (6) Here insert the full trade or business address of principal. day of 20..... (7).....Signature of agent Appendix
- (7) To be signed by agent (8)*
- (8) Here attach a certified copy of the identity card of mine person or registration certificate of the company appointing the agent. (a)Certified copy of identity card (b)Certified copies of registration certificate *Note: Delete whichever is not applicable



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
LETTER OF OFFER

To(1).....
.....

(1)Here insert the full
names and address
of applicant.

(2)Here insert the
reference No.
of the
application.
Here insert type
of application.

IN THE MATTER OF (2).....
you are hereby notified that your application for
(3)..... Has been accepted on the following
conditions1:

- (a).....
- (b).....
- (c).....
- (d).....

Dated this..... day of 20.....

.....
Director of Mining Cadastre

Notes:

1. Condition may be attached on a separate sheet, if necessary.



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
2016 NOTICE OF REJECTION OF APPLICATION

(1) Here insert the full names and address of applicant. To (1)
(2) Here insert the reference No. of the application IN THE MATTER OF (2) you are hereby notified that your application for (3) has been rejected on the following grounds:
(3) Here insert type of application (a).....
(b).....
(c).....
(d).....

Dated this Day of 20....

.....
(Signature of Minister/Director of Mining Cadastre/Director of Geological Survey/Director of Mines Safety/Director of Mines)*

Note* - Delete whichever is not applicable



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION TO AMEND A MINING RIGHT OR MINERAL PROCESSING LICENCE														
		Shaded fields for official use only				Certificate Code								
						Date and Time								
Information Required		Information Provided											√	
1.	Type of Mining Right and Licence No.													
2.	Holder													
3.	Expiry date													
4.	(a) Name(s) of applicant(s)													
	(b) Type of applicant	Individual		Company		Co-operative		Partnership						
5.	(a) Date of Birth (dd/mm/yyyy)													
	(b) Nationality													
	(c) Identity Card (NRC No.) or Passport No. (attach copies)													
6.	Applicant's Address													
	(a) Tel:													
	(b) Fax:													
	(c) E-mail:													
7.	(a) Company Registration No.													

	(Attach copies of certificate)				
	(b) Shareholders	Shareholder	Shares held	Nationality	NRC/Passport No. (attach copies)
	(c) Directors	Director	Shares held	Nationality	NRC/Passport No.
	(d) Company Chief Executive Officer	Name		Nationality	
	(e) Company Secretary	Name		Nationality	
8.	Proposed amendment				
		(a)			
		(b)			
		(c)			
		(d)			
		(e)			
		(f)			
9.	Appendices				
	Appendix No. 1	Justification for proposed amendments			
	Appendix No. 2	Record for company board meeting and resolutions			
	Appendix No. 3	Commitment plan to review the environmental management plan			
Application Fee					
.....				
Applicant		Date			
.....				
Officer		Date			
FOR OFFICIAL USE ONLY					

Received by:

Officer

Amount Received:

Serial No. of Application:

OFFICAL STAMP



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
NOTICE OF CHANGE IN PARTICULARS

Licence No.: Holder:
 Notice is hereby given that the following particulars have changed:

Current Details as Registered	Details of Particular Change
1. Business Name: 2. Business Address or Telephone Numbers: 3. Change in Directors:	1. Business Name: 2. Business Address or Telephone Numbers: 3. Change in Directors:

I do hereby certify that the information shown above is true and correct.

Signature:
 Designation:
 Date:

Form XIII
 (Regulation 21 (2) and (3))
 (To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR RENEWAL OF A MINING RIGHT												
		Shaded fields for official use only				Certificate Code						
						Date and Time						
<i>Information Required</i>		<i>Information Provided</i>										√
1.	Mining Right or Licence No.											
2.	Minerals											
3.	Renewal period											
4.	(a) Name(s) of applicant(s)											
	(b) Type of applicant	Individual	Company	Co-operative	Partnership							
5.	(a) Date of Birth (dd/mm/yyyy)											
	(b) Nationality											
	(c) Identity Card (NRC No.) or Passport No. (attach copies)											
6.	Applicant's Address											
	(a) Tel:											
	(b) Fax:											
	(c) E-mail:											
7.	(a) Company Registration No. (Attach copies of certificate)											

	(b) Shareholders	Shareholder	Shares held	Nationality	NRC/Passport No. (attach copies)
	(c) Directors	Director	Shares held	Nationality	NRC/Passport No.
	(d) Company Chief Executive Officer	Name		Nationality	
	(e) Company Secretary	Name		Nationality	
8.	Current Mining Rights held in Zambia, if any, by applicant	Mining Right or Licence (Type & Licence No.)	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	
9.	Previously held mining rights in Zambia, if any, by applicant	Mining Right or Licence (Type & Licence No.)	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	
10	Currently held mining rights or licences in Zambia, if any, by subsidiary companies	Mining Right or Licence (Type & Licence No.)	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	

11	Currently held mining rights or licences in other countries by applicant	Mining Right or Licence (Type & Licence No.)	Location	Area (km ²)
		(a)	(a)	(a)
		(b)	(b)	(b)
		(c)	(c)	(c)
		(d)	(d)	(d)
		(e)	(e)	(e)
		(f)	(f)	(f)
12	<p>Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Development Act, No. 11 of 2015 or any other law within or outside Zambia?</p> <p>If yes specify details:</p> <p>Nature of offence:</p> <p>Date of Conviction:</p> <p>Sentence:</p>			
13	<p>Have you ever applied for a mining right or licence in Zambia? If yes, please give details:</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p>			
	Mining right or licence applied for	Location	Area km ²	Date of application
14	<p>Area applied for renewal(all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-Minute-Second format, Arc 1950)</p>			
	(a) Location	Province		District
		Locality		
(b) Size (in Sq. Km) * Exploration licence area- compulsory relinquishment of at least 50% of original area * Large-scale Mining licence area- not exceeding 250km ²				

	*Small-scale mining licence area- not exceeding 4km ² * Artisan's mining right area- not exceeding 0.0668km ²														
	(c) Coordinates	Latitude (S)						Longitude (E)							
		D	D	M	M	S	S	D	D	M	M	S	S		
	Corner 1														
	Corner 2														
	Corner 3														
	Corner 4														
	Corner 5														
	Corner 6														
	Corner 7														
	Corner 8														
	Corner 9														
15	Appendices														
	(a) Exploration														
	Appendix No.1	Report on execution of approved programme of exploration operations including results in digital format on work done													
	Appendix No. 2	Approved Environmental Project Brief													
	Appendix No. 3	Proposed programme of exploration operations for renewal period (should include an indication of the investment commitments)													
	Appendix No. 4	Proposals for employment and training of citizens of Zambia.													
	Appendix No. 5	Proposals for promotion of local													

		business development			
	Appendix No. 6	Tax clearance certificate			
	Appendix No. 7	Any further information which the Mining Licencing Committee may require			
(b) Large-Scale Mining					
	Appendix No. 1	Report on execution of approved programme of mining operations			
	Appendix No. 2	A latest statement of proved, estimated and inferred mineral deposits in the area over which licence is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions, expected change in mining activities and estimated life of a mine)			
	Appendix No. 3	Proposed programme for exploration and mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered)			
	Appendix No. 4	Approved Environmental Management Plan			

	Appendix No. 5	Details of expected infrastructure requirements			
	Appendix No. 6	Proposals for employment and training of citizens of Zambia			
	Appendix No. 7	Proposals for promotion of local business development			
	Appendix No. 8	Tax clearance certificate issued under Income Tax Act (Cap. 323)			
	Appendix No. 9	Plan of proposed mining area during renewal period			
	Appendix No. 10	Execution report of plan for cutting, polishing and faceting of gemstones in Zambia during previous tenure; and plan for cutting, polishing and faceting gemstones in Zambia during renewal period			
	Appendix No. 11	Any further information which the Mining Licencing Committee may require			
(c) Small-Scale Mining					
	Appendix No. 1	Report on the development of mining area during previous tenure			
	Appendix No. 2	Proposed programme of mining operations (should include forecast of investment, estimated recovery rate of ore and applicants proposal			

		for treatment and disposal of ore)			
	Appendix No. 3	Description of the mineral deposit in area over which licence renewal is sought			
	Appendix No. 4	Statement of duration for which licence is sought (should not exceed ten years)			
	Appendix No. 5	Tax clearance certificate			
	Appendix No. 6	Approved Environmental Management Plan			
	Appendix No. 7	Any further which the Mining Licencing Committee may require			
(d) Artisanal Mining (by citizens of Zambia)					
	Appendix No. 1	Report on the development of mining area during previous tenure			
	Appendix No. 2	Approved Environmental Management Plan			
	Appendix No. 3	Programme of intended mining operations (should include proposals for the proper conservation and use of mineral resources in mining area, in national interest			
(e) Radioactive Minerals- In addition to requirements at (b) above					
	Appendix No. 1	Plan and description of mine, processing facilities and proposed disposal sites			
	Appendix No. 2	Copy of licence issued under the Ionizing Radiation			

		Protection Act, No. 16 of 2005			
	Appendix No. 3	Programme to educate persons in the vicinity of the mine or plant on safety, health and the environment in relation to radiation			
	Appendix No. 4	Programme to assist authorities outside the mining area in planning and preparing to limit adverse effects of radiation			
	Appendix No. 5	Proposed programme for selecting, using and maintenance of personal protective equipment			
	Appendix No. 6	Proposed training programme for workers in relation to radiation safety			
	Appendix No. 7	Proposed ventilation and dust control methods and associated equipment			
	Appendix No. 8	Proposed security measures during mining, processing, storage, transportation (including measures to alert the holder of acts of sabotage)			
	Appendix No. 9	Storage, transportation and marketing arrangements in conformity with the Nuclear Non-			

		Proliferation Treaty (NPT)			
	Appendix No. 10	Any further information which the Director may require			
Application Fee					

.....
Applicant

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Date

.....
Officer

.....
Date

FOR OFFICIAL USE ONLY

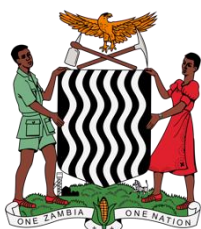
Received by:
Officer



Amount Received:

Serial No. of Application:

Form XIV
 (Regulation 21(4))
 (To be completed in triplicate)



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR RENEWAL OF A MINERAL PROCESSING LICENCE													
		Shaded fields for official use only				Certificate Code							
						Date and Time							
Information Required		Information Provided											√
1.	Licence No.												
2.	Minerals												
3.	Renewal period												
4.	(a) Name(s) of applicant(s)												
	(b) Type of applicant	Individual		Company		Co-operative		Partnership					
5.	(a) Date of Birth (dd/mm/yyyy)												
	(b) Nationality												
	(c) Identity card (NRC No.) or Passport No.- Attach copies												
6.	Applicant's Address												
	(a) Tel:												
	(b) Fax:												
	(c) E-mail:												
7.	(a) Company Registration No. (Attach copies of certificate of registration)												

	issued under the Companies Act)				
	(b) Shareholders	Shareholder	Shares held	Nationality	NRC/Passport No. (attach copies)
	(c) Directors	Director	Shares held	Nationality	NRC/Passport No.
	(d) Company Chief Executive Officer	Name		Nationality	
	(e) Company Secretary	Name		Nationality	
8.	Current Mining Rights or licences in Zambia, if any, by applicant	Mining Right or Licence (Type & Licence No.)	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	
9.	Previously held mining rights or licences in Zambia, if any, by applicant	Mining Right or Licence (Type & Licence No.)	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	
		(c)	(c)	(c)	
		(d)	(d)	(d)	
		(e)	(e)	(e)	
		(f)	(f)	(f)	
10.	Currently held mining rights or licences in Zambia, if any, by applicant	Mining Right or Licence (Type & Licence No.)	Location	Area (km ²)	
		(a)	(a)	(a)	
		(b)	(b)	(b)	

	Corner	9																
	Corner	10																
	Corner	11																
	Corner	12																
14.	Appendices																	
	Appendix No. 1	Description and plan of surrounding developments																
	Appendix No. 2	Proposed programme for mineral processing operations for renewal period (should include a forecast of capital investment, proposed plant capacity, the estimated recovery rate of mineral products, and the proposed treatment methods and disposal methods and disposal of ore and minerals recovered)																
	Appendix No. 3	Report on execution of Environmental Management Plan (in accordance with the requirements of the Environmental Management Act No. 12 of 2011)																
	Appendix No. 4	Details of expected infrastructure requirements during renewal period																
	Appendix No. 5	Proposals for employment and training of citizens of Zambia during renewal period																
	Appendix No. 6	Tax clearance certificate (issued under Income Tax Act (Cap 323)																
	Appendix No. 7	Any further information which the Director of Mining Cadastre may require																
Application Fee																		
.....																		

Applicant

Date

.....
Officer

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Date

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Received by:
Officer

Amount Received:

Serial No. of Application:

OFFICAL STAMP



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)

The Mines and Mineral Development (General) Regulations, 2016

APPLICATION TO ALTER AREA UNDER A MINING RIGHT													
		Shaded fields for official use only			Certificate Code								
					Date and Time								
Information Required		Information Provided										√	
1.	Mining right or licence No.												
2.	Reasons for alteration (attach additional sheet if necessary)												
3.	(a) Name(s) of applicant(s)												
	(b) Type of applicant	Individ ual		Company		Co- operative		Partnershi p					
4.	(a) Date of Birth (dd/mm/yyyy)												
	(b) Nationality												
	(c) Identity Card (NRC No.) or Passport No. (attach copies)												
5.	Applicant's Address												
	(a) Tel:												
	(b) Fax:												
	(c) E-mail:												
6.	(a) Company Registration No. (Attach copies of certificate of registration)												

	issued under the Companies Act)												
	(b) Company Secretary	Name					Nationality						
7.	Mineral(s)												
8.	<p>(a) Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Development Act, No. 11 of 2015 or any other law within or outside Zambia?</p> <p>If yes, specify details:</p> <p>.....</p> <p>Nature of offence:</p> <p>.....</p> <p>Date of Conviction:</p> <p>.....</p> <p>Sentence:</p> <p>.....</p> <p>.....</p>												
	<p>(b) Have you been declared bankrupt, or if a company, have you been in liquidation?</p> <p>If yes, specify details:</p> <p>.....</p> <p>.....</p> <p>.....</p>												
9.	Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-Minute-Second format, Arc 1950)												
	(a) Location	Province				District							
		Locality											
	(b) Size of current area (in Sq. km)												
	(c) Coordinates	Latitudes (S)						Longitudes (E)					
		D	D	M	M	S	S	D	D	M	M	S	S
	Corner 1												
	Corner 2												
	Corner 3												
	Corner 4												
	Corner 5												
	Corner 6												
	Corner 7												
	Corner 8												

		operations (should include an indication of the investment commitment)		
	Appendix No. 3	Proposals for employment and training of citizens of Zambia.		
	Appendix No. 4	Proposals for promotion of local business development.		
	Appendix No. 5	Tax clearance certificate issued under Income Tax Act (Cap. 323)		
	Appendix No. 6	Any further information which the Mining Licencing Committee may require.		
(b) Large-Scale Mining				
	Appendix No. 1	A comprehensive statement of the mineral deposits in the area over which the licence is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions)		
	Appendix No. 2	Proposed programme for (*prospecting) and mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered)		

Appendix No. 3	Approved Environmental Management Plan		
Appendix No. 4	Details of expected infrastructure requirements		
Appendix No. 5	Proposals for employment and training of citizens of Zambia		
Appendix No. 6	Proposals for promotion of local business development		
Appendix No. 7	Tax clearance certificate (issued under Income Tax Act (Cap. 323))		
Appendix No. 8	Plan of proposed mining area		
Appendix No. 9	Any further information which the Mining Licencing Committee may require		
(d) Small-Scale Mining			
Appendix No. 1	Proposed programme of mining operations (should include forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered)		
Appendix No. 2	Description of the mineral deposit in area over which the licence is sought		
Appendix No. 3	Statement of duration for which		

		licence is sought (should not exceed ten years)		
	Appendix No. 4	Tax clearance certificate issued under Income Tax Act (Cap. 323)		
	Appendix No. 5	Approved Environmental Management plan (Should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment)		
	Appendix No. 6	Any further information which the Mining Licencing Committee may require		
(e) Artisanal Mining				
	Appendix No. 1	Approved Environmental Management Plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment)		
	Appendix No. 2	Programme of intended mining operations (should include proposals		

		for the proper conservation and use of mineral resources in mining area, in the national interest)			
--	--	--	--	--	--

Application Fee

.....
Applicant

.....
Date

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Officer

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Date

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Received by:
Officer



Amount Received:

Serial No. of Application:



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR CONSENT TO TRANSFER A MINING RIGHT, MINERAL PROCESSING LICENCE, OR REGISTER TRANSFER OF SHARES IN, OR TRANSFER CONTROL OF, COMPANY HOLDING MINING RIGHT OR MINERAL PROCESSING LICENCE													
		Shaded fields for official use only				Certificate Code							
						Date and Time							
Information Required		Information provided											
1.	Mining Right or Licence No.												
2.	Current Holder												
3.	Name of proposed assignee												
4.	(a) Name(s) of applicant(s)												
	(b) Type of applicant	Individual		Company		Co-operative		Partnership					
5.	(a) Date of Birth (dd/mm/yyyy)												
	(b) Nationality												
	(c) Identity Card (NRC No.) or Passport No. (attach copies)												
6.	Applicant's Address												
	(a) Tel:												
	(b) Fax:												
	(c) E-mail:												

7.	(a) Company Registration no. (Attach copies of certificate of registration issued under the Companies Act, Cap 388)				
	(b) Current shareholders (Attach copies of certificate of registration issued under the Companies Act, Cap. 388)	Shareholder	Shares held	Nationality	NRC/Passport No. (attach copies)
	(c) Directors	Director	Shares held	Nationality	NRC/Passport No.
	(d) Company Chief Executive Officer	Name		Nationality	
	(e) Company Secretary	Name		Nationality	
8.	Appendices				
	Appendix No. 1	Minutes of company board meeting			
	Appendix No. 2	Resolution of the company's board meeting			
	Appendix No. 3	Reasons for transferring or assigning mining right, mineral processing licence, share or shares or control of company which holds a mining right or mineral processing licence			
	Appendix No. 4	Application form for a mining right			

		completed by proposed assignee		
	Appendix No. 5	Tax clearance certificate by holder of mining right or mineral processing licence		

Application Fee

.....
Applicant

.....
Date

.....
Officer

.....
Date

FOR OFFICIAL USE ONLY

Received by:
Officer



Amount Received:

Serial No. of Application:



REPUBLIC OF ZAMBIA

The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

APPLICATION FOR ABANDONMENT CERTIFICATE														
		Shaded fields for official use only				Certificate Code								
						Date and Time								
Information Required		Information Provided											√	
1.	Mining right or Licence No.													
2.	Minerals													
3.	(a) Proposed abandonment date													
	(b) Name(s) of applicant(s)													
		(c) Type of applicant	Individual		Company		Co-operative		Partnership					
4.	(a) Date of Birth (dd/mm/yyyy)													
	(b) Nationality													
	(c) Identity Card (NRC No. or Passport No.)- attach copies													
5.	Applicant's Address													
	(a) Tel:													
	(b) Fax:													
	(c) E-mail:													

Corner	2														
Corner	3														
Corner	4														
Corner	5														
Corner	6														
Corner	7														
Corner	8														
Corner	9														
Corner	10														
Corner	11														
Corner	12														

15. Appendices

Appendix No. 1	Board Resolution		
Appendix No. 2	Report on execution of programme of operations		
Appendix No. 3	A copy of Closure Certificate granted by Director Mines Safety		
Appendix No. 4	The receipt of payment of the application fees		
Appendix No. 5	Company registration details		

Application Fee

.....
Applicant
Date

.....
Officer
Date

FOR OFFICIAL USE ONLY

Received by:
Officer

Amount Received:

Serial No. of Application:

OFFICIAL STAMP



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
LICENCE NO.....
EXPLORATION LICENCE
(Section 23 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name

Address

The Exploration area shall be the area described in the Schedule and annexed hereto and borderedon the Plan .

The licence relates to the following minerals

.....

The licence relates to the following level of operation.....

.....

The licence is granted for a period of Commencing on the
Day of20.....

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mining Cadastre



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
LICENCE NO.....
EXPLORATION LICENCE
(Section 32 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name

Address

The mining areas shall be the area described in the Schedule and annexed hereto and bordered

The licence relates to the following minerals

.....

The licence relates to the following level of operation.....

.....

The licence is granted for a period of commencing on the day of20.....

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mining Cadastre

ENDORSEMENT OF REGISTRATION

This Mining Licence has this day of 20.....
Been registered in the Register.

.....
Director of Mining Cadastre

Renewals and Amendments

Date of Renewal or Amendment	Details of Renewal or Amendment	Date of Registration and Registration No.	Signature of Director



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
LICENCE NO.....
MINERAL PROCESSING LICENCE
(Section 40 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name
Address

The mineral processing area shall be the area described in the Schedule and annexed hereto and borderedon the Plan.

The licence relates to the following minerals

The licence is granted for a period ofcommencing on the.....
Day of 20.....

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mining Cadastre

ENDORSEMENT OF REGISTRATION

This Mineral Processing Licence has this..... day of20....
been registered in the Register.

.....
Director of Mining Cadastre

Renewals and Amendments

Date of Renewal or Amendment	Details of Renewal or Amendment	Date of Registration and Registration No.	Signature of Director



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
PERMIT No.....
MINERAL TRADING PERMIT
(Section 45 (2) of the Mines and Minerals Development Act, No. 11 of 2015)

Name of Holder
Address

The permit relates to the following class of minerals

The permit relates to the following minerals

The certificate is valid for a period of one year commencing on the
day of 20.....

The conditions of grant of the certificate are as shown in the Annexures attached hereto.

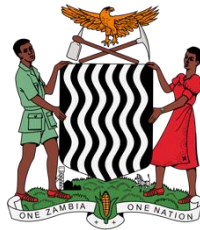
Issued at this day of

.....
Director of Mining Cadastre

ENDORSEMENT OF REGISTRATION

This Mineral Trading Permit has this..... day of20.... been
registered in the Register.

.....
Director of Mines



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
GOLD PANNING CERTIFICATE
(Section 42 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name
Address

The panning areas shall be the area described in the Schedule and annexed hereto and bordered on the Plan

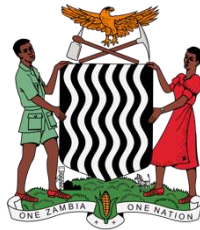
The certificate relates to the following minerals Gold Panning operations only.
The certificate is granted for a period of commencing on the day of20.....
The conditions of grant of the right are as shown in the Annexures attached hereto.
Issued at this day of

.....
Director of Mines

ENDORSEMENT OF REGISTRATION

The Gold Panning Certificate has this..... day of20.... been registered in the Register.

.....
Director of Mines



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
PERMIT No.....
MINERAL IMPORT PERMIT
(Section 47 of the Mines and Minerals Development Act, No. 11 of 2015)

Importer's name

Address

The permit relates to the following minerals or mineral products (or as per attached schedule)

valued at and being imported from:

The permit is granted for a period of commencing on the day of 20.....

The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at this day of

.....
Director of Mines
ENDORSEMENT OF REGISTRATION

This Mineral Import Permit has this..... day of20....
been registered in the Register.

.....
Director of Mines



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

PERMIT No.....

MINERAL IMPORT PERMIT
(Section 47 of the Mines and Minerals Development Act, No. 11 of 2015)

Exporter's name

Address

The permit relates to the following minerals or mineral products (or as per attached schedule)

valued at and being exported to

.....

The permit is granted for a period of commencing on the day of 20..... and is valid for

Shipments.

The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at this day of

.....

Director of Mines

ENDORSEMENT OF REGISTRATION

This Mineral Export Permit has this..... day of20....
been registered in the Register.

.....

Director of Mines



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016

AUTHORISATION NO.....

AUTHORISATION TO ACQUIRE/STORE/TRANSPORT/SELL/IMPORT/EXPORT*
RADIOACTIVE MINERALS

(Section 50 of the Mines and Minerals Development Act, No. 11 of 2015)

Name of Authorisation holder

Address

Radiation Protection Authority Licence No.....

The authorization relates to the following minerals or minerals products

valued at and being procured from:

The authorisation is granted for a period ofcommencing on the
..... day ofand is valid for

Shipment for the purpose of

The conditions of grant of the authorization are as shown in the Annexures attached hereto.

Issued at this day of

.....
Minister

ENDORSEMENT OF REGISTRATION

This authorisation has this..... day of20.....
been registered in the Register.

.....
Minister

*Delete whichever is not applicable



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016
CERTIFICATE NO.....

MINERAL ANALYSIS CERTIFICATE

(Section 51 of the Mines and Minerals Development Act, No. 11 of 2015)

Client's name

Mining Right or Licence No. (where applicable)

.....

Address

The certificate relates to minerals or mineral products analysed as follows (or as per attached schedule)

		<i>PARAMETERS</i>	
<i>Item No.</i>	<i>Description</i>		<i>Analytical Method</i>
1.			
2.			
3.			
4.			
5.			
6.			

The conditions of grant of the certificate are as shown in the Annexures attached hereto.

ANALYSED BY: NAME: VERIFIED BY: NAME:

POST: POST:

SIGNATURE: SIGNATURE:

DATE: DATE:

Issued at this day of

.....
Director of Geological Survey

ENDORSEMENT OF REGISTRATION

This Mineral Analysis Certificate has this day of20....

Been registered in the Register.

.....
Director of Geological Survey



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
 CERTIFICATE NO.....

VALUATION CERTIFICATE

(Section 51 of the Mines and Minerals Development Act, No. 11 of 2015)

Name:

Permit/ Licence No. (where applicable)

Address

The certificate relates to minerals or mineral products analysed as follows (or as per attached schedule)

<i>NAME OF MINERAL</i>	<i>DESCRIPTION</i>	<i>GRADE</i>	<i>QUANTITY</i>	<i>COLOUR</i>	<i>UNITVALUE</i>	<i>TOTAL</i>

TOTAL WT: _____

TOTAL US\$: _____

The conditions of grant of the certificate are as shown in the Annexures attached hereto.

EXAMINED BY: NAME:..... VERIFIED BY: NAME:.....

POST: POST:.....

SIGNATURE:..... SIGNATURE:.....

DATE:..... DATE:.....

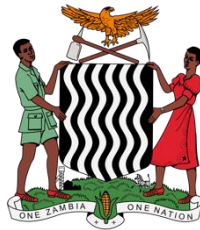
Issued at this day of

.....
 Director of Geological Survey

ENDORSEMENT OF REGISTRATION

This Valuation Certificate has this day of20been registered in the Register.

.....
 Director of Geological Survey



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)

CERTIFICATE NO.....

CERTIFICATE OF ABANDONMENT

(Section 70 of the Mines and Minerals Development Act, No. 11 of 2015)

Holder's name
Address
The abandoned area shall be the area described in the Schedule and annexed hereto and bordered
on the Plan.

The area abandoned was in respect of the following minerals
The abandonment certificate takes effect commencing on the day of 20..... and I hereby impose the attached conditions of abandonment.

Issued at this day of
.....
Director of Mining Cadastre

ENDORSEMENT OF REGISTRATION

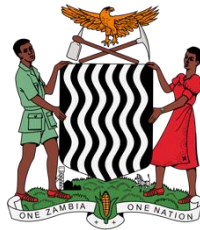
This Certificate of Abandonment has this..... day of20....
been registered in the Register.

.....
Director of Mining Cadastre



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
PEGGING CERTIFICATE

Side	Distance (Metres)	Angles of Direction (deg, min, sec)	CO-ORDINATES SYSTEM: Geographical Coordinates			
			Point	Latitude	Longitudes	Diagram No.
Approved By: Sign: Date:						
Scale: 1:500000 SPACE FOR DIAGRAM						
FIGURE: REPRESENTS KILOMETRES SQUARED OF LAND FOR SITUATED IN DISTRICTS OF PROVINCE OF ZAMBIA.						
SURVEYOR: DATE: SIGN:						
EXAMINER: SIGN:						
LICENCE NO:		BEACONS DESCRIPTION:				



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016

**CONSENT TO ASSIGN/TRANSFER A MINING RIGHT OR MINERAL
PROCESSING LICENCE**

(1) Here insert the full names and address of applicant To (1)
IN THE MATTER OF (2) you are
hereby notified that your application for (3) has
been accepted on the following *conditions:

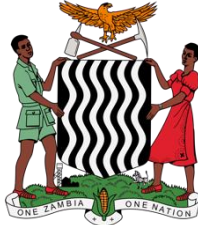
(2) Here insert the reference No. of the application (a)
(b)
(c)
(d)

(3) Here insert type of application Dated this Day of 20.....

.....
Minister

*Conditions may be attached on a separate sheet, if necessary

Form XXXI
(Regulation 20)
(To be completed in triplicate)



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
MONTHLY SALES RETURN

Name of Holder Month: 20

Address: Permit No.:

MINERAL SALES AND EXPORTS									
<i>Date</i>	<i>Name of Mineral</i>	<i>Name of Buyer</i>	<i>Weight (G/K/G/T)</i>	<i>Local Sales (K)</i>	<i>Exports</i>				<i>Remarks</i>
					<i>Valuation Certificate No.</i>	<i>Valued by</i>	<i>Country of Destination</i>	<i>Sale Price (US\$)</i>	
TOTAL									

I, do hereby sincerely declare that the information given above is true and correct; and I make this certificate conscientiously believing the same to be true.

Signature:

To be submitted to: Director of Mines (2 copies)

Form XXXII
 (Regulation 20)
 (To be completed in triplicate)



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
MONTHLY PURCHASES RETURN

MINERAL PURCHASES AND IMPORTS									
Date	Type of Mineral	Mine own production	Name of Seller	Weight (G/KG/T)	Local Sales (K)	Imports			Remarks
						Valued by	Country of Origin	Sale Price (US\$)	
TOTAL									

I, do hereby sincerely declare that the information given above is true and correct; and I make this certificate conscientiously believing the same to be true.

Signature:

To be submitted to: Director of Mines (2 copies)



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)

The Mines and Minerals Development (General) Regulations, 2016
MINERAL PRODUCTION RETURN-NON-METALS

Name of Mine: Licence No.:
 Name of Holder: Month:

		<i>Ore Treated (a)</i>			<i>Marketable Products Obtained</i>			
<i>Waste Raised (a)</i>	<i>Ore Raised (b)</i>	<i>Tonnes</i>	<i>Source</i>	<i>Percent Metal/Mineral</i>	<i>Name of Metal/Mineral</i>	<i>Quantity (a)</i>	<i>Percent Metal/x Mineral</i>	<i>Remarks (c)</i>

- (a) Unless otherwise stated all quantities are in tonnes
- (b) Underground, opencast, stockpiles old dumps, etc.
- (c) Please indicate briefly the reasons for significant changes in production

I, do hereby certify that the information given above is true and correct; and I make this certificate conscientiously believing the same to be true.

Signature:
 (Manager)

To be submitted to:
 Director of Mines (2 copies)
 Director of Mines Safety (1 copy)

(Reverse of Form XXXIII)

CHANGES IN STOCK DURING THE MONTH

MONTH: 20

Kind of products	Stocks at beginning of month (a)	Additions to stocks during month (a)	Disposal from stocks during month (a)	Stocks at end of month (a)
TOTAL				

NOTES:

- (a) Unless otherwise stated, all quantities are in grams for gemstones production and in tonnes for other minerals.

Form XXXIV
 (Regulation 50(1))
 (To be completed in triplicate)



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
 (Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
MINERAL PRODUCTION RETURN-METALS

Name of Mine: Licence No.:
 Name of Holder: Month:

		Quantities	Metal/Mineral Grade (%)	Metal/Mineral Content (1)	Metal/Mineral Recovery (%)	Other Metal/Mineral contained (%g/t)
Underground Mining	Waste raised					
	Ore raised					
Open Pit Mining	Waste raised					
	Ore raised					
Concentrator ¹	Ore treated					
	Retreatment of Dumps					
	Concentrate Produced					
Concentrator ¹	Ore treated					
	Retreatment of Dumps					
	Concentrate Produced					
Marketing of Concentrates	Conc. Stockpiled					
	Conc. Despatched to ²					
	Conc. Despatched to ²					

	Conc. Despatched to 2					
	Conc. Despatched to 2					
	Conc. Exported					
	Other					
	Total					
Origin of Products Smelted	Own Concentrate					
	Conc. Purchased from ³					
	Conc. Purchased from ³					
	Conc. Purchased from ³					
	Conc. Purchased from ³					
	Other local purchases					
	Total Smelter Feed					
Smelter and Refinery Products	Intermediary product 1					
	Intermediary product 2					
	Slags Rejected					
Final Marketable Product						
Total Metal/Mineral from own production						
Total Metal/Mineral from local purchases						
Total Metal/Mineral from Imports						
¹ Insert the name of the concentrator						

² Insert the name of the smelter where concentrates are dispatched			
³ Insert the name of the mine where concentrates were produced			

- (a) Unless otherwise stated all quantities are in tonnes
- (b) Underground, opencast, stockpiles old dumps, etc.
- (c) Please indicate briefly the reasons for significant changes in production

I, do hereby certify that the information given above is true and correct; and I make this certificate conscientiously believing the same to be true.

Signature:
(Manager)

To be submitted to:
 Director of Mines (2 copies)
 Director of Mines Safety (1 copy)

(Reverse of Form XXXIV)

CHANGES IN STOCK DURING THE MONTH

MONTH: 20

Kind of products	Stocks at beginning of month (a)	Additions to stocks during month (a)	Disposal from stocks during month (a)	Stocks at end of month (a)
TOTAL				

NOTES:

- (a) Unless otherwise stated, all quantities are in grams for gemstones production and in tonnes for other minerals.



REPUBLIC OF ZAMBIA
The Mines and Minerals Development Act, 2015
(Act No. 11 of 2015)
The Mines and Minerals Development (General) Regulations, 2016
NOTICE OF APPEAL

IN THE MATTER OF.....
(Application reference and matter of appeal) hereby give notice of appeal against the decision of the Director of Mines/Director of Mining Cadastre/Director of Mines Safety/Director of Geological Survey/Mining Licensing Committee due to the following reasons:

- (a)
- (b)
- (c)
- (d)

Dated this day of 20.....

.....
Signature of Appellant

SECOND SCHEDULE

(Regulations 7(1), 8(1), 8(2), 8(4), 9, 10, 11, 15, (3), 18(1) and 57)

PRESCRIBED FEES, AREA CHARGES AND MAXIMUM AREAS FEES

TYPE OF MINING RIGHT OR APPLICATION	FEE UNITS
(1) Exploration Licence	
(a) Small-scale	3000
(b) Large-scale	10000
(2) Mining Licence	
(a) Artisanal	3000
(b) Small-scale	15000
(c) Large-scale	160000
(3) Exploration Licence	
(a) Small-scale	15000
(b) Large-scale	160000
(4) Transferring of Mining Licence	
(a) Small-scale	15000
(b) Large-scale	160000
(5) Alteration of Exploration Licence	
(a) Small-scale	2500
(b) Large-scale	3000
(6) Alteration of Mining Licence	
(a) Artisanal	500
(b) Small-scale	2500
(c) Large-scale	3000

NON-MINING RIGHTS/OTHER CATEGORIES

LICENCE, CERTIFICATE OR PERMIT	FEE UNITS
Mineral Processing Licence	160000
Renewal of Mineral Processing Licence	160000
Transfer of Mineral Processing Licence	160000
Alteration of Mineral Processing Licence	3000
Mineral Trading Permit	7000
Renewal of Mineral Trading Permit	7000
Gold panning certificate	500
Mineral Import Permit	3500
Mineral Export Permit	750
Application for consent to acquire, store, transport or export radioactive minerals	3500
Mineral Analysis Certificate	750
Valuation Certificate	1000
Inspection of documents	2000
Replacement of licence, permit or certificate	3000

AREA CHARGES (FEE UNITS)

TYPE OF LICENCE	Year 1 - 4	Year 5 - 7	Year 8 - 10	Year 11 and later
------------------------	-----------------------	-----------------------	------------------------	------------------------------

				years where applicable
Exploration Licence (per hectare per year)				
(a) Small-scale	2	5	-	
(b) Large-scale	4	12	16	
Mining Licence (per hectare per year)				
(a) Artisanal Mining	14	14	14	14
(b) Small-scale mining	28	28	28	28
(c) Large-scale mining	56	56	56	56
Mineral Processing Licence (per hectare per year)	56	56	56	56
Excess exploration ground	22	22	22	22

PRESCRIBED MAXIMUM AREAS

TYPE OF MINING RIGHT OR LICENCE	MAXIMUM AREA		
	Square Kilometers	Hectares	Cadastre units
Exploration Licence			
(a) Small-scale	10	1000	3000
(b) Large-scale	2000	200000	59880
Mining Licence			
(a) Artisanal	0.0668	6.68	2
(b) Small-scale	4	400	120
(c) Large-scale	250	25000	7485

MINIMUM ANNUAL EXPLORATION EXPENDITURE PER HECTARE

Type of licence	Fee Units per hectare per year									
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10
Exploration licence	40	40	40	40	120	120	120	160	160	160

THIRD SCHEDULE

(Regulation 17(3))

CONDITIONS OF LICENCES, CERTIFICATES, PERMITS AND AUTHORISATIONS

A. CONDITIONS OF GRANT OF A MINING LICENCE –LARGE-SCALE MINING

1. The holder shall-
 - (a) develop the mining area in accordance with the approved programme of mining operations;
 - (b) execute the environment management plan;
 - (c) implement the local business development programme
 - (d) employ and train Zambians in accordance with the approved program;
 - (e) demarcate the mining area and keep it demarcated;
 - (f) pay mineral royalty in accordance with the Mines and Minerals Development Act, No. 11 of 2015 and the Income Tax Act, Cap. 323;
 - (g) maintain at the holder’s office complete and accurate technical and financial records of the mining operations;
 - (h) permit authorized officers, at any time, to inspect all records, mining and mineral processing operations;
 - (i) submit statutory reports and any other information concerning the mining or mineral processing operations;
 - (j) submit a copy of the annual audited financial statements within three months of the end of each financial year;
 - (k) submit reports on external supplies ;of ore, concentrates, tailings, slimes or any other mineral fed to the plant;
 - (l) provide current information on recovery from ores, mineral products, production costs and sales;
 - (m) conduct operations only upon meeting the requirements of the Environment Management Act No. 12 of 2011;
 - (n) contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, 2015;
 - (o) obtain appropriate insurance for all phases of the mining operations;
 - (p) give preference to Zambia products and services;
 - (q) submit a Pegging Certificate for approval within one hundred and eighty days of grant of licence; and
 - (r) comply with the provisions of the Mines and Minerals Development Act, No.11 of 2015 and other relevant laws of Zambia.
2. Illegal mining and trade is not permitted in the licensed area.
3. The holder shall not enter into an agreement or transfer of the licence without the prior consent of the Minister.
4. The holder shall be liable for harm or damage caused by the mining or mineral processing operations and shall compensate a person to whom harm or damage is caused.
5. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
6. Other terms and conditions are as follows:

.....
.....

.....
.....

B. CONDITIONS OF GRANT OF AN EXPLORATION LICENCE – LARGE-SCALE EXPLORATION

1. The holder shall-
 - (a) pay the relevant taxes under the Income Tax Act, Cap.323;
 - (b) promote local business development;
 - (c) execute the environmental management plan
 - (d) employ and train Zambians;
 - (e) execute the programme of prospecting;
 - (f) commence the exploration operations within one hundred and eighty days of grant of the licence;
 - (g) give notification of any discovered minerals or commercial deposits within thirty days of the discovery;
 - (h) give preference to Zambian products and services;
 - (i) permanently preserve or make safe any water boreholes and surrender water rights on expiry of licence;
 - (j) surrender to Government the drill cores and other mineral samples;
 - (k) remove, within sixty days of the expiry, cancellation or termination of the exploration licence, any camp, temporary buildings or machinery and repair or make good any damage as required under the Mines and Minerals Development Act, No. 11 of 2015;
 - (l) keep and preserve such records as the Minister may prescribe in relation to the environment;
 - (m) submit reports to the Director of Geological Survey, Director of Mines and Director of Mines Safety in both hard and electronic copies;
 - (n) keep full and accurate records of the prospecting operations, at the holder's office;
 - (o) obtain appropriate insurance for all phases of its operations;
 - (p) submit a Pegging Certificate for approval within one hundred and eighty days of grant of the licence; and
 - (q) comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.

2. The holder shall-
 - (a) expend on exploration operations each year, not less than the minimum annual exploration expenditure set out in the Second Schedule, failing which the holder commits an offence and is liable, on conviction, to a fine-
 - (i) equal to the difference between the minimum annual exploration expenditure and the amount actually expended on exploration operations in that year, if less than five hundred thousand penalty units; or

- (ii) of five hundred thousand penalty units if the difference between the minimum annual exploration operations in that year exceeds the equivalent of five hundred thousand penalty units; and
- (b) submit annual exploration expenditure statements accompanied by copies of relevant transaction documents to the Director of Geological Survey.
- 3. The holder shall be liable for harm or damage caused by the exploration operations and shall compensate a person to whom harm or damage is caused.
- 4. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
- 5. Other terms and conditions are as follows:

.....

C. CONDITIONS OF GRANT OF AN EXPLORATION LICENCE – SMALL-SCALE EXPLORATION

- 1. The holder shall-
 - (a) pay the relevant taxes under the Income Tax Act, Cap. 323;
 - (b) execute the Environmental Project Brief
 - (c) execute the programme of prospecting operations;
 - (d) commence the prospecting operations within one hundred and eighty days of the grant;
 - (e) give notification of discovered minerals or commercial deposits within thirty days of discover;
 - (f) give preference to Zambian products and services;
 - (g) permanently preserve or make safe any water boreholes and water rights on expiry of the licence;
 - (h) surrender to Government, without compensation, drill cores and other mineral samples;
 - (i) remove, within sixty days of expiry or termination of the exploration licence, any camp, temporary buildings or machinery, and repair or make good any damage as specified by the Director of Geological Survey;
 - (j) keep and preserve such records as the Minister may prescribe in relation to the environment;
 - (k) submit reports to the Director of Geological Survey, Director of Mines or Director of Mines Safety in both hard and electronic copies;
 - (l) keep full and accurate records, at the holder’s office, of the prospecting operations;
 - (m) obtain appropriate insurance for phases of its operations;
 - (n) submit a Pegging Certificate for approval within one hundred and eighty days of grant of permit; and
 - (o) comply with the provisions of the Mines and Minerals Development Act. No. 11 of 2015 and other relevant laws of Zambia.

2. The holder shall be liable for harm or damage caused by the prospecting operations and shall compensate a person to whom harm or damage is caused.
3. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
4. Other terms and conditions are as follows:

.....

D. CONDITIONS OF GRANT OF INING LICENCE – SMALL-SCALE MINING

1. A holder shall-
 - (a) develop the mining area in accordance with the approved programme of mining operation;
 - (b) execute the environmental management plan;
 - (c) demarcate the mining area and keep it demarcated;
 - (d) pay mineral royalty in accordance with the Mines and Minerals Development Act, No. 11 of 2015 and the Income Tax Act, Cap. 323;
 - (e) maintain at the holder’s office, complete and accurate technical and financial records of mining operations;
 - (f) permit authorized officers, at any time, to inspect all records, mining or mineral processing operations;
 - (g) submit statutory reports, records and other information concerning mining operations;
 - (h) submit copies of annual audited financial statements within three months of the end of each financial year;
 - (i) submit reports on external suppliers of ore, concentrates, tailings slimes or other mineral fed to the plant;
 - (j) provide current information on recovery from ores, mineral products, production costs and sales;
 - (k) conduct operations only upon meeting the requirements under the Environmental Management Act, No. 12 of 2011;
 - (l) contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, No. 11 of 2015;
 - (m) obtain appropriate insurance for phases of its operations;
 - (n) submit a Pegging Certificate for approval within one hundred and eighty days of grant of licence; and
 - (o) comply with the provisions of the Mines and Minerals Development Act, 2015 and other relevant laws of Zambia.

2. Illegal mining and trade are not permitted in the mining area.
3. The holder shall not enter into an agreement or transfer of licence without the prior consent of the Minister.

4. The holder shall be liable for harm or damage caused by the mineral processing or mining operations and shall compensate a person to whom harm or damage is caused.
5. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
6. Other items and conditions are as follows:

.....

E. CONDITIONS OF GRANT OF A MINERAL PROCESSING LICENCE

1. The holder shall-
 - (a) pay taxes under the Income Tax Act, Cap. 323;
 - (b) pay mineral royalty;
 - (c) commence operations within three years of the grant;
 - (d) execute the approved programme of mineral processing operations;
 - (e) expend not less than the approved amount on mineral processing operations;
 - (f) submit reports on source of ore, concentrates, tailing, slimes or other mineral fed to the plant;
 - (g) submit statutory reports and other information concerning the operation;
 - (h) execute the environmental management plan;
 - (i) provide current information on recovery from ores, mineral products, production costs and sales;
 - (j) conduct operations only upon meeting the requirements of the Environmental Management Act, No. 12 of 2011;
 - (k) contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, No. 11 of 2015;
 - (l) obtain appropriate insurance for all phases of its operations;
 - (m) submit a Pegging Certificate for approval within one hundred and eighty days of grant licence; and
 - (n) comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
2. The holder shall not enter into an agreement or transfer the licence without the prior consent of the Minister.
3. The holder shall be liable for harm or damage caused by the mineral processing operations and shall compensate a person to whom harm or damage is caused.
4. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
5. Other terms and conditions are as follows:

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F. CONDITIONS OF GRANT OF MINING LICENCE – ARTISANAL MINING

1. The holder shall-
 - (a) Submit statutory reports and other information concerning the operation;
 - (b) Pay mineral royalty and other charges in accordance with the Mines and Minerals Development Act, No. 11 of 2015;
 - (c) Execute the environmental project brief;
 - (d) Maintain production and financial records at the holder’s office;
 - (e) Provide current information on recovery from ores, mineral products, production, costs and sales;
 - (f) Conduct operations only upon meeting the requirements of the Environmental Management Act, No. 12 of 2011;
 - (g) Contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, No. 11 of 2015;
 - (h) Submit a Pegging Certificate for approval within one hundred and eighty days of grant of the right; and
 - (i) Comply with the provisions of the Mines and Minerals Development Act, 2015 and other relevant laws of Zambia.

2. The holder shall be liable for harm or damage caused by the mineral processing operations and shall compensate a person to whom harm or damage is caused.
3. The holder shall not exercise a right under the licence without the prior consent of the legal occupiers of the land or local chiefs.
4. Other terms and conditions are as follows:
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G. CONDITIONS OF A MINERAL TRADING PERMIT

1. A Mineral Trading Permit is not transferable.
2. A holder shall submit monthly purchases and sales returns with the names and addresses of the sellers and purchasers.
3. A holder shall at all times make the records available for inspection by an authorized officer.
4. The holder shall comply with the provisions of the Mines and Minerals Development Act, no. 11 of 2015 and other relevant laws of Zambia.
5. The other terms and conditions are as follows:
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H. CONDITIONS OF GRANT OF A MINERAL EXPORT PERMIT

1. A mineral export permit is not transferable.
2. A mineral export permit shall not be applicable to radioactive minerals.
3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia
4. The other terms and conditions are as follows:

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I. CONDITIONS OF GRANT OF A MINERAL IMPORT PERMIT

1. A mineral import permit is not transferable.
2. A mineral import permit shall not be applicable to radioactive minerals.
3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
4. The other terms and conditions are as follows:

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**J. CONDITIONS OF GRANT OF AUTHORISATION TO ACQUIRE/STORE/
TRANSPORT/SELL/IMPORT/EXPORT RADIOACTIVE MINERALS**

1. The holder shall not transfer the permit.
2. The permit holder shall comply with the requirements of the Ionizing Radiation Protection Act, No. 16 of 2005.
3. The acquisition, storage, transportation, sale or export of radioactive minerals or concentrates shall be done in accordance with the Mines and Minerals Development (Prospecting, Mining and Milling of Uranium Ores and Other Radioactive Minerals) Regulations, 2008.
4. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
5. The other terms and conditions are as follows:

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K. CONDITIONS OF GRANT OF AN ABANDONMENT CERTIFICATE

1. The abandonment does not relieve the person granted the abandonment certificate of obligations or liabilities incurred before the grant.
2. The abandonment certificate is not transferable to another person or mining right area held by the person granted the abandonment certificate.
3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.

4. The other terms and conditions are as follows:

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L. CONDITIONS OF GRANT OF A MINERAL ANALYSIS CERTIFICATE

1. The mineral analysis certificate is granted in respect of the mineral commodity analysed and shall not be transferrable to another consignment or person.
2. The certificate shall be admissible in assessment of mineral royalty.
3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
4. The other terms and conditions are as follows:

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M. CONDITIONS OF GRANT OF A MINERAL VALUATION CERTIFICATE

1. The mineral valuation certificate is granted in respect of the mineral commodity analysed and shall not be transferrable to another consignment or person.
2. The certificate shall be admissible in the assessment of mineral royalty.
3. The holder shall comply with the provisions of the Mines and Minerals Development Act, No. 11 of 2015 and other relevant laws of Zambia.
4. The other terms and conditions are as follows:

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N. CONDITIONS OF GRANT OF GOLD PANNING CERTIFICATE

1. The holder shall-
 - (a) submit labour and mineral production returns;
 - (b) pay mineral royalty and other charges in accordance with the Mines and Minerals Development Act, 2015;
 - (c) execute the environmental project brief;
 - (d) maintain production and financial records at the holder's office;
 - (e) provide current information on recovery from ores, mineral products, production costs and sales;
 - (f) conduct operations only upon meeting the requirements of the Environmental Management Act, No. 12 of 2011;
 - (g) not use chemicals in the processing of Gold; and
 - (h) comply with the provisions of the Mines and Minerals Development Act, 2015 and other relevant laws of Zambia.
2. The holder shall be liable for harm or damage caused by the mineral processing operations and shall compensate a person to whom harm or damage is caused.

3. The holder shall not exercise a right under the certificate without the prior consent of the legal occupiers of the land or local chiefs.
4. Other terms and conditions are as follows:

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C. YALUMA,
*Minister of Mines and
Mineral Development*

LUSAKA
18th January 2016
[MMMD.111/3/6 CONF]